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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER 09/526,100	FILING DATE 15 MARCH 2000	FIRST NAMED INVENTOR SHEPARD	ATTY. DKT. NO. 6019.3026
TITLE OPTICAL CONVERSION DEVICE		ART UNIT UNKNOWN	EXAMINER UNKNOWN

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

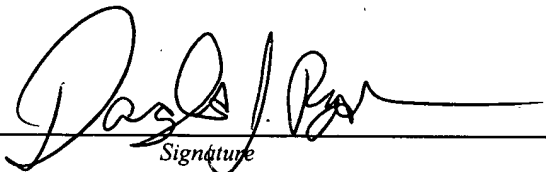
Enclosed for filing are the following papers:

- ☐ Fee Transmittal Form ☐ Check for \$
☐ Amendment / Response ☐ After Final
☐ Petition for Extension of Time
☒ Information Disclosure Statement ☒ Form PTO-1449
☐ Notice to File Missing Parts of Application/Incomplete Application
☐ Express Mail Certificate
☐ Other:

Remarks:

Dated: 4/12/00

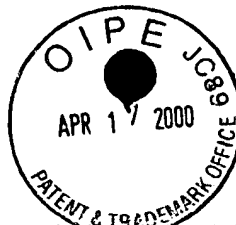
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107 North Broad Street
Doylestown, PA 18901
(215) 348-7775


Signature

Douglas J. Ryder, Reg. No. 43,073

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<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 14-0791 Deposit Account Name: NEXT LEVEL COMMUNICATIONS <input type="checkbox"/> Charge any Additional Fee Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)		<input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other:	Office use only:																																																																																																									
SUBMITTED BY Douglas J. Ryder <u>3/15/00</u> 43,073 Reg. Number																																																																																																												



CERTIFICATE OF MAILING (37 CFR 1.8(A))

PATENT

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

12 April 2000

Laura J. Kelly
Laura J. Kelly

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER 09/526,100	FILING DATE 15 MARCH 2000	FIRST NAMED INVENTOR SHEPPARD	ATTY. DKT. NO. 6019.3026
TITLE OPTICAL CONVERSION DEVICE		ART UNIT UNKNOWN	EXAMINER UNKNOWN

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby wish to make of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in the examination of the above-identified patent application. Copies of the patent documents are enclosed.

This Information Disclosure Statement is being submitted:

- ☒ (1) within three (3) months of the filing date, entry into national phase in an international application or before the mailing date of the First Office Action; or
- ☐ (2) after the period defined in (1) above but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☐ Certification is made below, or
- ☐ The check, or authorization to charge deposit account, in the amount of the fee set forth by 37 C.F.R. §1.17(p) is attached.
- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, and
- ☐ Applicant hereby Petitions the Commissioner to consider the attached references; Certification is made below and the fee is included.

Attached hereto is Form PTO-1449 listing documents believed to be relevant to the above-captioned application. It is respectfully requested that these documents be considered by the Examiner. The relevance of each non-English document will be discussed as follows:

REMARKS:

None.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the Examiner considers otherwise, it is requested that the undersigned be contacted by telephone promptly so that any deficiency can be remedied.

Some of the documents may have markings thereon. No significance is intended to be attached to the markings.

The submission of these documents is not intended to be deemed an admission that they constitute analogous art.

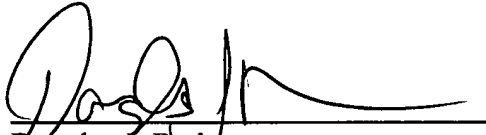
CERTIFICATION

Applicant(s) certify that:

- ☐ (1) Each item or reference was cited in a communication from a foreign patent office in a corresponding foreign application not more than three months prior to the filing date of the Statement; or

- ☐ (2) No item or reference was cited in a communication from a foreign patent office in a corresponding foreign application or, to the knowledge of the Applicant(s), was known more than three months prior to the filing of the Statement.

Respectfully submitted,


Douglas J. Ryder
Reg. No. 43,073

Date: 9/12/00

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